

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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MAY 24 2002

In re Application of: Brian Street et al.	Docket No.: 39137.010300 Technology Center 2100
Serial Number: 09/859,666	Art Unit: 2164
Filing Date: May 18, 2001	Examiner: Unassigned
Title: System and Method for Identifying Potential Participants in a Public Offering	

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97(b)(3)

Honorable Commissioner of
Patents and Trademarks
Washington, D.C. 20231

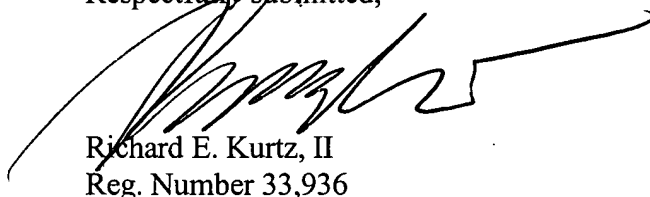
Sir:

Transmitted herewith is an Information Disclosure Statement (Form PTO-1449) in the above-captioned application.

This Information Disclosure Statement is submitted prior to the mailing date of the first Office Action on the merits. Thus **no fee, petition, or certification is required**. However, the Commissioner is authorized to charge any additional fees or credit any overcharges to Deposit Account No. **50-0653**.

Consideration of the references and Examination on the Merits is respectfully requested.

Respectfully submitted,



Richard E. Kurtz, II
Reg. Number 33,936

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May 16, 2002

REK/hlm

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U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

ATTY. DKT. NO.

SERIAL NO.

39137.010300

09/859,666

APPLICANT

Brian Street

FILING DATE

May 18, 2001

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INFORMATION DISCLOSURE STATEMENT
BY APPLICANT

(Use several sheets if necessary)


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 Technology Center 2100

U.S. PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date

FOREIGN PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Country	Class	Subclass	Translation	
						Yes	No
	WO 97/07475	2/27/1997	WIPO	G06F	157/00		X
	EP 0600235	6/8/1994	European Patent Office	H04L	29/06		X

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

	"The 'Aaayy' List", Washington Post.com, Mark Leibovich, June 6, 1999, 6 pages
	"My IPO Experience", www.Glenns.org, Glenn Fleishman, June 24, 1999, 2 pages
	"How Get-Rich.Hopes of Linux Techies Went Up in Flames", Wall Street Journal Highlights - - dowjones.com, Randall Smith and Lee Gomes, August 18, 1999, 5 pages
	"How I Got a Chance at Dot.com Wealth", Fortune: 9.6.99 - - www.pathfinder.com, Chris Nolan, September 6, 1999, 8 pages

Examiner

Date Considered